

## FORMALITIES LETTER



\*OC000000005335655\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,500	06/30/2000	Bilal Alam	13768.143

Adrian J Lee  
Workman Nydegger & Seeley  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, UT 84111

Date Mailed: 08/18/2000



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/30/2000 MMARMOL 00000012 09607500

01 FC:105

130.00 OP

# WORKMAN NYDEGGER & SEELEY

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER  
60 EAST SOUTH TEMPLE  
SALT LAKE CITY, UTAH 84111  
TELEPHONE (801) 533-9800  
FACSIMILE (801) 328-1707

RICK D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
BRADLEY K. DESANDRO  
JOHN M. GUYNN  
CHARLES L. ROBERTS  
GREGORY M. TAYLOR  
DANA L. TANGREN  
KEVIN B. LAURENCE  
ERIC L. MASCHOFF  
CHARLES J. VEVERKA  
ROBYN L. PHILLIPS  
RICHARD C. GILMORE†  
DAVID B. DELLENBACH

KEVIN K. JOHANSON  
L. DAVID GRIFFIN  
R. BURNS ISRAELSEN  
DAVID R. TODD  
FRASER D. ROY  
CARL T. REED  
JESÚS JUANÓS I TIMONEDA, Ph.D.  
R. PARRISH FREEMAN, JR.  
PETER F. MALEN, JR.  
ADRIAN J. LEE  
KYLE H. FLINDT  
DAVID B. TINGEY  
L. REX SEARS

† ADMITTED ONLY IN CALIFORNIA

H. ROSS WORKMAN  
OF COUNSEL

PATENT  
TRADEMARK  
COPYRIGHT  
TRADE SECRETS  
UNFAIR COMPETITION  
LICENSING  
COMPLEX LITIGATION

MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspat.com>

GENERAL E-MAIL: [info@wnspat.com](mailto:info@wnspat.com)



Express Mail Label No. EL 417 646 681 US

PATENT APPLICATION

Docket: 13768.143.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bilal Alam, et al.

Serial No.:

09/607,500

Filed:

June 30, 2000

For:

METHODS AND SYSTEMS FOR  
PREVENTING SOCKET FLOODING  
DURING DENIAL OF SERVICE  
ATTACKS

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box: Missing Parts  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed August 18, 2000, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

- (1) a Declaration, Power of Attorney, and Petition executed by the inventors Bilal Alam, et al.;

- (2) an Assignment from the inventors Bilal Alam, et al. to MICROSOFT CORPORATION;
- (3) a copy of the Notice to File Missing Parts of Application - Filing Date Granted;
- (4) Change of Attorney Docket No.
- (5) Petition for One Month Extension of Time, along with Check No. 118199 in the amount of \$110.00
- (6) Check No. 118198 in the amount of \$170.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

Basic filing fee for a large entity	=	\$130.00
Assignment recordation fee	=	\$40.00
TOTAL	=	\$170.00

Please debit any additional fees, or credit any overpayment, to Deposit Account No. 23-3178. Triplicate copies of this sheet are enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178. Copies of this sheet are enclosed in triplicate.

X Any patent application processing fees under 37 C.F.R. § 1.17.

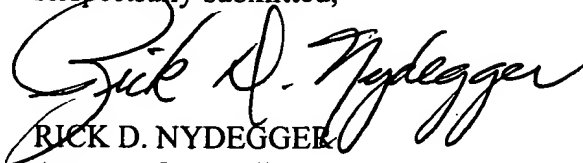
— The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 25 day of OCTOBER, 2000.

Respectfully submitted,



RICK D. NYDEGGER  
Attorney for Applicant  
Registration No. 28,651



**022913**

PATENT TRADEMARK OFFICE

RDN:jrij

Enclosures

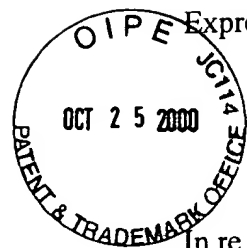
G:\DATA\WPDOCSRN\MICROSOFT\OTHERDOC\0913 mp trx 143\_1.doc

Transmitted: Declaration, Power of Attorney, and Petition (2 pgs); PTO-1595 Recordation Cover Sheet and Assignment (3 pgs); Copy of the Notice to File Missing Parts of Application (1 pg); Change of Attorney Docket Number (2 pgs); Petition for One Month Extension of Time (2 pgs); Check No. 118199 in the amount of \$110.00; Check No. 118198 in the amount of \$170.00; and postcard.

#3

Express Mail Label No. EL 417 646 681 US

PATENT APPLICATION  
Docket: 13768.143.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Serial No.:

Filed:

For:

Bilal Alam, et al.

09/607,500

June 30, 2000

METHODS AND SYSTEMS FOR  
PREVENTING SOCKET FLOODING  
DURING DENIAL OF SERVICE  
ATTACKS

CHANGE OF ATTORNEY DOCKET NUMBER

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

For convenience and ready identification of the papers received in connection with the above-identified patent application, please reference in all future communications my Docket No. 13768.143.1. All communications should remain addressed to the undersigned:

RICK D. NYDEGGER  
WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
(801) 533-9800



10-26-00

SECTOR 18  
#3

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**  
Applicant(s): Bilal Alam, et al. Docket No. 13768.143.1


Serial No. 09/607,500	Filing Date June 30, 2000	Examiner	Group Art Unit 2755
--------------------------	------------------------------	----------	------------------------

Invention: METHODS AND SYSTEMS FOR PREVENTING SOCKET FLOODING DURING DENIAL OF SERVICE ATTACKS

I hereby certify that the Response to Notice to File Missing Parts of Application (in triplicate); Declaration, Power of Attorney and Petition (2 pgs); PTO-1595 Recordation Cover Sheet and Assignment (3 pgs); Copy of Notice to File Missing Parts *Filing Date Granted* (1 pg); Change of Attorney Docket No. (2 pgs); Petition for One Month Extension of Time (2 pgs); Check No. 118199 in the amount of \$110.00; Check No. 118198 in the amount of \$170.00; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, DC 20231 on OCTOBER 25, 2000.

JOLIN JOHNSON

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

EL 417 646 681 US

("Express Mail" Mailing Label Number)